

# Corporate and Investment Banking



DIFC - your trusted partner



**DIFC – the top choice in the Middle East, Africa and South Asia (MEASA) region for institutions seeking global standards**

- World class legal and regulatory framework.
- Collaborative approach between clients, regulator and authorities.

**900+** DFSA regulated and supervised entities    **260+** banking and capital markets companies    **27** global systemically important banks (G-SIBS)

G-SIBS: Financial Stability Board data

**The preferred regional hub for the corporate and investment banking industry**



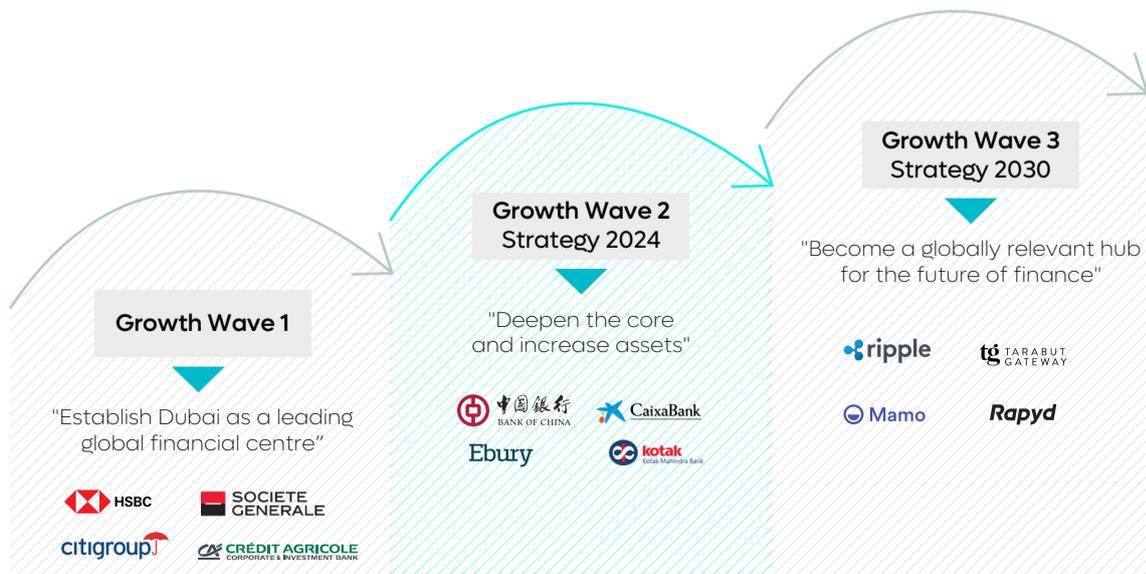
**Benefit from a future focused platform**

**#1** global financial centre in MEASA    **#1** green financial centre in MEASA    **#1** city in region for sustainable finance and responsible investing

Dubai in Global Financial Centre Index rankings vs. other MEASA cities

<p>Engage in consultations, future legal and regulatory enhancements</p>	<p>Industry collaboration through Dubai Sustainable Finance Working Group, a DIFC-chaired initiative</p>	<p>Company structures (Prescribed Company regime) for structured transactions</p>	<p>International standards for netting and insolvency laws</p>	<p>Advance your innovation agenda with the support of the DIFC Venture Studios and FinTechs in the DIFC Innovation Hub</p>
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**A growth platform for traditional financial services companies and emerging players driving the future of finance**



## A range of regulated licences for corporate and investment banking and capital markets firms

Category							
1	2	3A	3B	3C	3D	4	5
Accepting Deposits	Dealing in Investments as Principal (not as Matched Principal)	Dealing in Investments as Principal (only as a Matched Principal)	Providing Custody (only if for a Fund)m	Managing a Collective Investment Fund	Providing Money Services (other than issuing Stored Value)	Arranging Deals in Investments	An Islamic Financial Institution which Manages a PSIAu
Managing a PSIAu	Providing Credit	Dealing as Agent	Acting as the Trustee of a Fund	Managing Assets		Advising on Financial Products	
			Operating an Employee Money Purchase Scheme	Providing Trust Services as a Trustee of an Express Trust		Arranging Custody	
			Acting as the Administrator of an Employee Money Purchase Scheme	Managing a PSIAr		Operating an Alternative Trading System	
				Providing Custody (other than for a Fund)		Providing Fund Administration	
				Providing Money Services (issuing Stored Value)		Arranging Credit and Advising on Credit	
						Operating a Crowdfunding Platform	
						Arranging or Advising on Money Services	



### Licensing process

- 1 Submit letter of intent. [Click Here](#)
- 2 Process application and obtain in-principle approval.
- 3 Register your entity.

